

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit:
Jager, et. al.	:	Intellectual Property Law Department
Serial No.:	:	International Business
Filed: <i>Herewith Nov. 19, 2003</i>	:	Machines Corporation
Title: System and Method for Self-	:	3039 Cornwallis Road
Configuring and Self-Optimizing	:	Research Triangle Park, NC 27709
Filters	:	

INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Applicant: **Jager, et. al.**

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. **RSW920030155US1**

**U. S. PATENT DOCUMENTS**

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA	4,179,730	Dec. 18, 1979	Antonov et al.	364	105	
_____	AB	5,867,799	Feb. 2, 1999	Lang et al.	707	1	
_____	AC						
_____	AD						
_____	AE						
_____	AF						
_____	AG						
_____	AH						
_____	AI						
_____	AJ						
_____	AK						
_____	AL						

**FOREIGN PATENT DOCUMENTS**

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	AM	EP0559942A1	Sep. 15, 1993	Germany	B03C3	68	Abstract Only
_____	AN	WO9909664A1	Feb. 25, 1999	England	H04B1	38	Yes
_____	AO	JP2000295145A	Aug. 2, 2000	Japan	H04L25	03	Abstract Only
_____	AP						

Examiner  
Initial

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

_____	AQ	Xiaoming et al., "Development of Interactive Learning Control Strategy for Active Power Filter," IEEE Canadian Conference on Electrical and Computer Engineering Conference Proceedings, May 12-15, 2002, p. 240-245.
_____	AR	Ren et al., "Stochastic Adaptive Prediction and Model Reference Control," IEEE Transactions on Automatic Control, Vol. 39, No. 10, Oct. 1994, p. 2047-2060.
_____	AS	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.